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PTO/SB/21 (08-00)

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## Application Number 09/954,535 **TRANSMITTAL** Filing Date September 18, 2001 **FORM** First Named Inventor (to be used for all correspondence after initial filing) Peter Antony FARRAR Group Art Unit 3712 **Examiner Name** Jacob K. Ackun Total Number of Pages in This Submission Attorney Docket Number 027721-3 ENCLOSURES (check all that apply) ➤ Fee Transmittal Form After Allowance Communication to Group Assignment Papers (for an Application) Other Fee Attached ☐ Drawing(s) Amendment / Reply Declaration and Power of Attorney After Final Licensing-related Papers Petition Affidavits/declaration(s) Petition to Convert to a Provisional Extension of Time Request Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Information Disclosure Statement Terminal Disclaimer Certified Copy of Priority Request for Refund Document(s) CD, Number of CD(s) Response to Missing Parts/ Incomplete Application Remarks The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the Response to Missing Parts above identified docket number. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Stuart J. Friedman Firm Law Offices of Stuart J. Friedman 28930 Ridge Road Individual name Mt. Airy, MD 21771 Signature May 4 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Stuart J. Friedman Type or printed name Signature May 4, 2004

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## FEE TRANSMITTAL FOR FY 2004 Patent fees are subject to annual patents. Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known				
Application Number	09/954,535			
Filing Date	September 18, 2001			
First Named Inventor	Peter Antony FARRAR			
Examiner Name Jacob K. Ackun				
Art Unit	3712			
Attorney Docket No.	027721-3			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
☐ Check ☐ Credit Card ☐ Money ☐ Other ☒ None		3. ADDITIONAL FEES				
Order  Deposit Account:		Entity	Small	Entity	_	
Deposit Account Number	Fee Code 1051 1052	Fee (\$) 130 50	Fee Code 2051 2052	Fee (\$) 65 25	Fee Description  Surcharge – late filing fee or oath  Surcharge - late provisional filing fee or cover	
Deposit		130	1053	130	sheet Non-English specification	
Account Name		2,520	1812	2,520	For filing a request for ex parte reexamination	
The Commissioner is authorized to: (check all that apply)		920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Credit any overpayments		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge any additional fee(s)		110	2251	55	Extension for reply within first month	
Charge fee(s) indicated below, except for the filing fee		420	2252	210	Extension for reply within second month	
to the above-identified deposit account.		950	2253	475	Extension for reply within third month	
FEE CALCULATION		1,480	2254	740	Extension for reply within fourth month	
1. BASIC FILING FEE		2,010	2255	1,005	Extension for reply within fifth month	
Large Entity Small Entity	1401	330	2401	165	Notice of Appeal	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal	
, , , , , , , , , , , , , , , , , , , ,	1403	290	2403	145	Request for oral hearing	
1001 770 2001 385 Utility filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1002 340 2002 170 Design filing fee	1452	110	2452	55	Petition to revive - unavoidable	
1003 530 2003 265 Plant filing fee	1453	1,330	2453	665	Petition to revive – unintentional	
1004 770 2004 385 Reissue filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)	
1005 160 2005 80 Provisional filing fee	1502	480	2502	240	Design issue fee	
1003 100 2003 80 Provisional fining fee	1503	640	2503	320	Plant issue fee	
SUBTOTAL (1) (\$) 0	1460	130	1460	130	Petitions to the Commissioner	
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from		40	8021	40	Recording each patent assignment per property	
Extra Claims below Fee Paid		770	2809	385	(times number of properties)	
	1809				Filing a submission after final rejection (37 CFR 1.129(a))	
Claims	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
Multiple Dependent X = 0	1801	770	2801	385	Request for Continued Examination (RCE)	
Large Entity Small Entity Fee Fee Fee Fee <u>Fee Description</u>	1802	900	1802	900	Request for expedited examination of a design application	
Code (\$) Code (\$)	Other	fee (speci	fy)			
1202 18 2202 9 Claims in excess of 20					,	
1201 86 2201 43 Independent claims in excess of 3	*Redu	ced by Ba	asic Filin	g Fee Paid	SUBTOTAL (3) (S) 0	
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over	CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]					
original patent	I hen	eby certifi			•	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent				United States Postal Service on the date shown below with sufficient		
SUBTOTAL (2) (\$) 0		-	postage :	as first clas	s mail in an envelope addressed to: Commissioner for Patents, P. O.	
**or number previously paid, if greater; For Reissues, see above		Box 1450, Alexandria, VA 22313-1450				
Family Desired, or resident and address				ed by facs rk Office a	imile on the date shown below to the United States Patent and	
	Mav	4, 2004			tuat 1.1	
	Date Signature					
					Stuart J. Triedman Typed or printed name	
SUBMITTED BY  Complete (if applicable)  Stuart I Friedman Registration No. 24.312						
Name (Print/Type) Stuart J. Friedman		ration in ney/Ager	nt)	24,312	Telephone (301) 829-1003	
Signature					Date May 4, 2004	



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)
Peter Antony FARRAR et al	) Group Art Unit: 3712
Serial No. 09/954,535	) Examiner: Ackun, Jacob K.
Filed: September 18, 2001	)
For: APPARATUS FOR HOLDING A COMPACT DISC	)
	CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]
	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450, on May 4, 2004.  Signature:  Name: Stuart J Friedman

## AMENDMENT PRIOR TO CONTINUED EXAMINATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination pursuant to the previously filed Request for Continued Examination, please consider the following amendments together with the accompanying remarks.